01-08-02

*A*

jc962 U.S. PTO
01/04/02

Please type a plus sign (+) inside this box ➔ [ + ]

+

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

*(only for new nonprovisional applications under 37 CFR 1.53(b))*

| | |
|---|---|
| *Attorney Docket Number* | 21540-05799 |
| *First Named Inventor* | Dietrich W. Schultz |
| *Title* | AUTOMATIC LINKING OF DOCUMENTS |
| *Express Mail Label No.* | EL566290426US |

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27
3. ☒ Specification          *Total Pages*  [102]

(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross Reference(s) to Related Case(s)
- Statement Regarding Fed sponsored R & D
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawing(s)
- Detailed Description
- Claim or Claims
- Abstract of the Disclosure

4. ☒ Drawing(s) *(35 U.S.C. 113)*     *Total Sheets*  [20]

5. Oath or Declaration
   a. ☒ New Declaration     *Total Pages*  [2]
      ☐ Executed (original or copy)

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      *(for continuation/divisional with Box 18 completed)*
      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
9. ☒ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449
    ☐ Copies of IDS Citation(s)
13. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
14. ☒ Return Postcard
15. ☐ _____
16. ☐ _____
17. ☐ _____

*ADDRESS TO:*

**Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

J1046 U.S. PTO
10/039536
01/04/02

18. If a **CONTINUING APPLICATION**, *check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:*
   ☐ Continuation  ☐ Divisional  ☐ Continuation-in-part (CIP)  of prior application No: ___/_____

   *Prior application information:*  Examiner: _____  Group/Art Unit: _____
   **For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
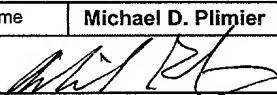
## 19. CORRESPONDENCE ADDRESS

☒ *Customer Number and Bar Code Label*

00758

| Name (Print/Type) | Michael D. Plimier | Registration No. (Attorney/Agent) | 43,004 |
|---|---|---|---|
| Signature | *[signature]* | Date | 1/4/02 |

| 0002/PTO(modified)<br>Rev. 10/2001 | U.S. Department of Commerce<br>Patent and Trademark Office | **Complete if Known** | |
|---|---|---|---|
| | | Application Number | Not Yet Known |
| **FEE TRANSMITTAL** | | Filing Date | January 4, 2002 |
| | | First Named Inventor | Dietrich W. Schultz |
| **TOTAL AMOUNT OF PAYMENT** | | Group Art Unit | Not Yet Known |
| | | Examiner Name | Not Yet Known |
| Subtotal (1) + Subtotal (2) + Subtotal (3) = **($) 1,160.00** | | Attorney Docket Number | 21540-05799 |

## METHOD OF PAYMENT

**1. The Commissioner is hereby authorized to:**

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

☐ Applicant claims small entity status
See 37 CFR 1.27

**Deposit Account Number: 19-2555**
**Deposit Account Name: FENWICK & WEST LLP**

A Duplicate Copy of this authorization is attached

**2.** ☒ **Payment Enclosed:**
☒ Check  ☐ Credit Card  ☐ Other

## FEE CALCULATION (fees effective 10/01/2001)

### 1. FILING FEE

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description | Fee Due |
|---|---|---|---|
| 101/$740 | 201/$370 | Utility Filing | 740 |
| 106/$330 | 206/$165 | Design Filing | |
| 108/$740 | 208/$370 | Reissue | |
| 114/$160 | 214/$80 | Provisional Filing | |
| | | **SUBTOTAL (1)** | **($) 740** |

### 2. CLAIMS

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description |
|---|---|---|
| 103/$18 | 203/$9 | Claims in excess of 20 |
| 102/$84 | 202/$42 | Independent claims in excess of 3 |
| 104/$280 | 204/$140 | Multiple dependent claim |
| 109/$84 | 209/$42 | Reissue independent claims over original patent |
| 110/$18 | 210/$9 | Reissue claims in excess of 20 and over original patent |

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description | Fee Due |
|---|---|---|---|
| 105/$130 | 205/$65 | Surcharge - late filing fee or oath | |
| 127/$50 | 227/$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/$2,520 | 147/$2,520 | For filing a request for reexamination | |
| 115/$110 | 215/$55 | Extension for response within first month† | |
| 116/$400 | 216/$200 | Extension for response within second month† | |
| 117/$920 | 217/$460 | Extension for response within third month† | |
| 118/$1,440 | 218/$720 | Extension for response within fourth month† | |
| 128/$1,960 | 228/$980 | Extension for response within fifth month† | |
| 119/$320 | 219/$160 | Notice of Appeal | |
| 141/$1,280 | 241/$640 | Petition to revive unintentionally abandoned application | |
| 142/$1,280 | 242/$640 | Utility Issue Fee (Or Reissue) | |
| 143/$460 | 243/$230 | Design Issue Fee | |
| 122/$130 | 122/$130 | Petitions to the Commissioner | |
| 126/$180 | 126/$180 | Submission of Information Disclosure Statement | |
| 179/$740 | 279/$370 | Request for Continued Examination (RCE) | |
| 581/$40 | 581/$40 | Recording each patent assignment per property (times number of properties) | |
| 146/$740 | 246/$370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/$740 | 249/$370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | Other fee (specify): | |
| | | Other fee (specify): | |
| | | **SUBTOTAL (3)** | **($) 0** |

| | (Col. 1)<br>No. of Existing Claims | | (Col. 2)<br>Highest No. Previously Paid For | | | (Col. 3)<br>Extra** | | Fee | | Fee Due |
|---|---|---|---|---|---|---|---|---|---|---|
| TOTAL | 34 | minus* | 20 | or | 0 | = | 14 | x | 18 | = | 252 |
| INDEP | 5 | minus* | 3 | or | 0 | = | 2 | x | 84 | = | 168 |
| [  ] First presentation of multiple dependent claim | | | | | | | | | = | |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

**SUBTOTAL (2)** **($) 420**

## SUBMITTED BY

| Typed or Printed Name | Michael D. Plimier | **Complete (if applicable)** | |
|---|---|---|---|
| | | Reg. Number | 43,004 |
| Signature | | Date | 1/4/02 |

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

21540/05799/DOCS/1234991.1

| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Dietrich W. Schultz |
| | Title | AUTOMATIC LINKING OF DOCUMENTS |
| | Atty Docket Number | 21540-05799 |

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

_1/4/02_
'Date

_signature_
Signature

_Michael Plimier, Reg. No. 43,004_
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**